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AMENDMENTS ARE MARKED AS FOLLOWS:

INSERTIONS ARE UNDERLINED

DELETIONS ARE CROSSED OUT

Chapter I **General Provisions**

Part 1 **General Clearing Provisions**

1 **General Rules**

1.1 **Scope of Application**

[...]

1.1.6 An entity may enter into a Clearing Agreement in the form appended to the Clearing Conditions as Appendix 2, Appendix 3 or Appendix 8 or an ICM Participation Agreement (as defined in the Individual Clearing Model Provisions) in the form appended to the Clearing Conditions as Appendix 4 with a Clearing Member and Eurex Clearing AG as a registered customer (each a "**Registered Customer**"), subject to, and in accordance with, the following conditions:

(1) the relevant entity must be

- (i) — a legal entity (*juristische Person*) (except for the legal entities listed in (ii));

(ii) — an investment fund with own legal personality in the form of an authorised investment company with variable capital as constituted by the Open-Ended Investment Companies Regulations 2001 in England and Wales (SI 2001/1228) ("**OEIC**"), an investment company incorporated under Part XIII of the Irish Companies Act 1990 ("**IC**") in Ireland, ~~unless the IC is an umbrella fund,~~ a société d'investissement à capital variable in Luxembourg ("**Lux SICAV**") or a société d'investissement à capital fixe in Luxembourg ("**Lux SICAF**") ~~unless the Lux SICAV or Lux SICAF is an umbrella fund,~~ a société d'investissement à capital variable in France ("**French SICAV**"), a *Investmentgesellschaft mit variablem Kapital* incorporated in Switzerland in accordance with art. 36 et seq. of the Swiss Federal Act of 23 June 2006 on Collective Investment Schemes, as amended from time to time in Switzerland ("**Swiss SICAV**") or an *Investmentgesellschaft mit festem Kapital* incorporated in Switzerland in accordance with art. 110 et seq. of the Swiss Federal Act of 23 June 2006 on Collective Investment Schemes, as amended from time to time ("**Swiss SICAF**") or a company operating as a mutual fund company in

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compliance with the Cayman Islands Mutual Funds Law (2013 Revision) (“CC”) (each of the fund types listed in this (ii) being an “**Incorporated Fund**”) -unless such ~~Incorporated Fund the French SICAV~~ is an umbrella fund;

(iii) an investment fund in the form of an Investment Limited Partnership established under the Irish Investment Limited Partnerships Act 1994 in Ireland (“ILP”), a *société en commandite spéciale* in Luxemburg („SCP”), a *Kommanditgesellschaft für kollektive Kapitalanlagen* within the meaning of art. 98 *et seq.* of the Swiss Federal Act of 23 June 2006 on Collective Investment Schemes, as amended from time to time (“SCPC”) or a limited partnership operating as a mutual fund in compliance with the Cayman Islands Mutual Funds Law (2013 Revision) (“LP”) (each of the fund types listed in this (iii) being a “**Partnership**”);

~~(iii)-~~ an contractual investment fund with no own legal personality in the form of a *Sondervermögen* within the meaning of the German Investment Act (*Investmentgesetz* – “InvG”) or the German Capital Investment Act (*Kapitalanlagegesetzbuch* – “KAGB”), a common contractual fund established pursuant to Part 2 of the Irish Investment Funds, Companies and Miscellaneous Provisions Act 2005 in Ireland (“CCF”), a *fonds commun de placement* in Luxembourg (“Lux FCP”), a *fonds commun de placement* in France (“French FCP”) or a Swiss *vertraglicher Anlagefonds* within the meaning of art. 25 *et seq.* of the Swiss Federal Act of 23 June 2006 on Collective Investment Schemes, as amended from time to time (“CF”) (each of the fund types listed in this (iv) being a “**Contractual Fund**”)-(*Sondervermögen*) within the meaning of the German Investment Act (*Investmentgesetz* – “InvG”) or the German Investment Capital Act (*Kapitalanlagegesetzbuch* – “KAGB”), including a sub-fund (*Teilfonds*) within the meaning of section 34 para. (2) InvG or a sub-fund (*Teilsondervermögen*) within the meaning of Section 96 para (2) KAGB;

~~(iv)-~~ a unit trust in the form of an authorised unit trust scheme in England and Wales (as defined in section 237 of the Financial Services and Markets Act) (“AUT”), a unit trust established under the Irish Unit Trusts Act 1990 in Ireland (“UT”) or a unit trust operating as a mutual fund in compliance with the Cayman Islands Mutual Funds Law (2013 Revision) (“CUT”) (each of the fund types listed in this (v) being a “**Unit Trust**”);

(vi) a sub-fund of (a) an Incorporated Fund in the form of a Lux SICAV, a Lux SICAF, a French SICAV, an Irish IC or a Swiss SICAV, (b) a Contractual Fund in the form of a *Sondervermögen* within the meaning of the German InvG or the German KAGB, a Lux FCP or French FCP, a CCF, or a CF or (c) a Unit Trust in the form of a UT or a CUT, whereby the types of funds listed in (a), (b) and (c) of this (vi) are in each case an umbrella fund to such sub-fund (each a “**Sub-Fund**”); or

(vii) a fund segment (i.e. a pool of assets of a fund segregated for book-keeping and technical settlement purposes and a pool of obligations entered into on account of such fund) of (a) an Incorporated Fund in the form of a Lux SICAV, a Lux SICAF, (b) a Contractual Fund in the form of a *Sondervermögen* within the meaning of the German InvG or the German KAGB or a Lux FCP, or (c) a fund segment of a sub-

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fund of an Incorporated Fund in the form of a Lux SICAV or Lux SICAF or a Contractual Fund in the form of a Lux FCP (each a “Fund Segment”);

~~(iv) a fund segment of such investment fund (in each case under (iii) and (iv)) managed by a German investment company (Kapitalanlagegesellschaft) (“KAG”) within the meaning of the InvG or by a German management company (Kapitalverwaltungsgesellschaft) (“KVG”) within the meaning of the KAGB, (v) an authorised unit trust scheme in England and Wales (as defined in section 237 of the Financial Services and Markets Act) (“AUT”), (vi) a unit trust established under the Irish Unit Trusts Act 1990 in Ireland (“UT”), (vii) a common contractual fund established pursuant to Part 2 of the Irish Investment Funds, Companies and Miscellaneous Provisions Act 2005 in Ireland (“CCF”), (viii) an Investment Limited Partnership established under the Irish Investment Limited Partnerships Act 1994 (“ILP”) in Ireland, (ix) a fonds commun de placement in Luxembourg (“Lux FCP”), (x) a fonds commun de placement in France (“French FCP”) or (xi) a fund segment of a Lux SICAV or Lux SICAF, (xii) a fund segment of a Lux FCP or (xiii) a sub-fund of a Lux SICAV or Lux SICAF, a French SICAV, a Lux FCP, a French FCP, an IC, a UT or a CCF which is in each case an umbrella fund to such sub-fund, (xiv) a fund segment of a sub-fund of a Lux SICAV or Lux SICAF, (xv) a fund segment of a sub-fund of a Lux FCP,~~

provided that in each such case under (ii) to (vii) such entity may only enter into a Clearing Agreement or ICM Participation Agreement in the form appended to the Clearing Conditions as Appendix 3 or 4;

(2) the Contractual Funds, Partnerships, Unit Trusts, Sub-Funds and Fund Segments listed in (1) above, which do not have own legal personality, will enter into a Clearing Agreement, ICM Participation Agreement or Covered Transactions through a manager, general partner, trustee or an Incorporated Fund (in case of (1)(vi) or (vii) above) acting on behalf and for the account of the relevant Contractual Fund, Partnership, Unit Trust, Sub-Fund or Fund Segment, as the case may be, (each such manager, general partner, trustee and Incorporated Fund, as the case may be, acting for the account of a Contractual Fund, Partnership, Unit Trusts, Sub-Fund or Fund Segment will be referred to as a “Fund Party”);

(32) the Clearing Agreement relates to the Clearing of one or more of the following Transaction Types: Eurex Transactions, EEX Transactions, OTC Credit Derivative Transactions and OTC Interest Rate Derivative Transactions (each an “RC-Eligible Transaction Type”), provided that

[...]

(43) the relevant entity shall have a technical connection to the systems of Eurex Clearing AG and shall have executed the Agreement on Technical Connection to the Clearing EDP of Eurex Clearing AG (Connection Agreement) under inclusion of the General Terms and Conditions on Technical Connection to the Clearing EDP of Eurex Clearing AG.

[...]

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Appendix 3 to the Clearing Conditions:

Clearing Agreement with a Non-Clearing Member and/or Registered Customer for the Individual Clearing Model under Eurex Clearing AG Documentation

[...]

Part 4 **Special Provisions for the Clearing of Transactions with certain types of investment funds~~a German Investment Company (Kapitalanlagegesellschaft) or a German Management Company (Kapitalverwaltungsgesellschaft)~~**

The following provisions apply to the Clearing of Transactions with Contractual Funds, Partnerships, Unit Trusts, Sub-Funds and Fund Segments~~, a German investment company (Kapitalanlagegesellschaft) ("KAG") within the meaning of the German Investment Act (Investmentgesetz) ("InvG") or with a German management company (Kapitalverwaltungsgesellschaft) ("KVG") within the meaning of the German Capital Investment Act ("KAGB"):~~

1 1 — Definitions and interpretation

1.1 ~~In this Agreement a "Fund" is a separate fund managed by the KAG within the meaning of section 2 para. (2) InvG or by the KVG within the meaning of section 1 para. (10) KAGB, including a sub-fund (Teilfonds) within the meaning of section 34 para. (2) InvG or a sub-fund (Teilsondervermögen) within the meaning of section 96 para. (2) KAGB.~~

1.2 ~~In this Agreement a "Fund-Segment" of a Fund is a pool of assets of a "Fund" segregated for book-keeping and technical settlement purposes and a pool of obligations entered into on account of such Fund.~~

1.3 ~~Each 1 Reference in this Agreement to an "ICM Client" or a "Registered Customer" shall be to the KAG/KVG, acting in each case for the account of a certain a particular Relevant Fund or Relevant Fund Segment, in each case Fund or Fund Segment of a Fund managed by the KAG/KVG as listed in the Annex to this Part 4 acting through the Fund Party as ICM Client or Registered Customer.~~

1.42 In this Part 4:

(i) ~~Each Contractual Fund, Partnership, Unit Trust or Sub-Fund listed in the Annex to this Part 4 Fund shall for the account of which the KAG/KVG enters into this Agreement or into Transactions shall in this Part 4 be referred to as a the "Relevant Fund";~~

(ii) ~~1.53 each Each Fund Segment listed in the Annex to this Part 4 for the account of which the KAG/KVG enters into this Agreement or into Transactions shall in this Part 4 be referred to as a the "Relevant Fund Segment"; and~~

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(iii) **“Fund Party”** means, as applicable, the manager, general partner, trustee or Incorporated Fund acting for the account of a Relevant Fund or Relevant Fund Segment, as the case may be.

1.3 References in this Agreement to a Unit Trust “entering into” a Clearing Agreement or Covered Transaction through the Fund Party shall be construed as the Fund Party as trustee of that Unit Trust entering in that Clearing Agreement or Covered Transaction, as the case may be. In addition, references in this Part 4 to a Unit Trust “acting through” the Fund Party shall be construed as the Fund Party acting as trustee of that Unit Trust.

2 **Included Transactions for certain types of Contractual Funds**

—In deviation from Part 3 of this Agreement, only OTC Credit Derivative Transactions pursuant to Chapter VIII Part 2 and OTC Interest Rate Derivatives pursuant to Chapter VIII Part 3 may be included in the Clearing for Contractual Funds in the form of a Sondervermögen within the meaning of the German Investment Act (Investmentgesetz) or the German Capital Investment Act (Kapitalanlagegesetzbuch) or a Sub-Fund or Fund Segment thereof.

3 **Information Obligations, entering into Covered Transactions and Standard Agreements**

3.1 When entering into a Covered Transaction for the account of a Relevant Fund or Relevant Fund Segment, the Fund Party KAG/KVG shall in each case inform Eurex Clearing AG and the Clearing Member for ~~the account of~~ which Relevant Fund or Relevant Fund Segment ~~the KAG/KVG enters into such that~~ Covered Transaction is being entered into.

3.2 Notwithstanding Part 1 Number 2.1 of this Agreement, for each Relevant Fund and each Relevant Fund Segment:

(i) all rights and obligations between the Clearing Member and that Relevant Fund or Relevant Fund Segment, as the case may be, acting through the Fund Party with respect to Corresponding Covered Transactions under this Agreement shall constitute a separate Standard Agreement; and~~All rights and obligations between the Clearing Member and the KAG/KVG acting for the account of a certain Relevant Fund or Relevant Fund Segment as ICM Client with respect to Corresponding Covered Transactions shall for the purpose of this Agreement constitute a separate Standard Agreement.~~

~~(ii)3-3~~ all rights and obligations between Eurex Clearing AG and the Clearing Member with respect to Covered Transactions under this Agreement corresponding to the Corresponding Covered Transactions described in paragraph (i) above shall constitute a separate Standard Agreement.~~A Standard Agreement only applies in each case (a) to the Corresponding Covered Transactions entered into between the Clearing Member and the KAG/KVG acting for the account of the Relevant Fund or Relevant Fund Segment, respectively, and (b) to the corresponding Covered Transactions entered into between Eurex Clearing AG and the Clearing Member.~~

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3.3 ~~The No-such-Standard Agreements referred to in paragraph 3.2~~ shall not have any impact on the legal relationship between Eurex Clearing AG and the Clearing Member and between the Clearing Member and ~~the KAG/KVG acting for the account of any other Relevant Fund or another Relevant Fund Segment.~~

3.4 Eurex Clearing AG and the Clearing Member will separately account for the relevant positions and the relevant margin collateral provided under Covered Transactions entered into by ~~each the Relevant Fund and each Relevant Fund Segment~~ KAG/KVG acting for the account of the Relevant Fund or Relevant Fund Segment.

4 Re-establishment of Transactions

4.1 The ~~Fund Party~~ KAG/KVG may decide separately for each Relevant Fund whether it declares an IP Election or an Immediate Re-Establishment Election pursuant to Chapter I Part 3 Subpart B Number 5 of the Clearing Conditions.

4.2 With respect to the Relevant Fund Segments of a ~~particular single Incorporated Fund, Contractual Fund or Sub-Fund~~ Fund, the ~~Fund Party~~ KAG/KVG may only decide collectively for all such Relevant Fund Segments whether it declares an IP Election or an Immediate Re-Establishment Election pursuant to Chapter I Part 3 Subpart B Number 5 of the Clearing Conditions.

5 Set-off

The set-off of claims of the ~~Relevant Fund or Relevant Fund Segment, in each case acting through the Fund Party~~ ICM Client with or against claims of another ICM Client or any other claims shall be excluded.

6 No change of Clearing model

~~Each The Relevant Fund and each Relevant Fund Segment, in each case acting through the Fund Party~~ ICM Client may only enter into a Clearing Agreement or ICM-Participation Agreement in the form appended to the Clearing Conditions as Appendix 3 or 4. A change of the clearing model is not possible.

7 Representations and Undertakings to Eurex Clearing AG ~~Confirmation to Eurex Clearing AG~~

7.1 ~~For each Relevant Fund and each Relevant Fund Segment, the Clearing Member and the Fund Party acting for the account of that Relevant Fund or Relevant Fund Segment (as the case may be), severally not jointly, represents and warrants by way of an independent guarantee and irrespective of fault (selbständiges, verschuldensunabhängiges Garantieverprechen) to Eurex Clearing AG that:~~

(i) the Fund Party has the power to enter into and perform the Relevant ICM Documentation and any other documentation relating to the Relevant ICM Documentation for the account of that Relevant Fund or Fund Segment, as the case may be;

(ii) that Relevant Fund or Relevant Fund Segment, as the case may be, has been established in compliance with applicable law and is legally existent;

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- (iii) that Relevant Fund or Relevant Fund Segment, as the case may be, and/or its Fund Party (as applicable) are, where required to be, registered and/or regulated in their respective jurisdiction and supervised by and/or subject to the powers of the competent supervisory authorities in their respective countries of origin;
- (iv) the entry into and performance of the Relevant ICM Documentation and any other documentation relating to the Relevant ICM Documentation by that Relevant Fund or Relevant Fund Segment, as the case may be, acting through the relevant Fund Party do not conflict with any law or regulation, in particular investment laws and regulations, applicable to that Relevant Fund or Relevant Fund Segment (as the case may be) or Fund Party, any provision of that Relevant Fund's or Relevant Fund Segment's (as the case may be) constitutional documents, any order or judgment of any court or other agency of government applicable to that Relevant Fund or Relevant Fund Segment (as the case may be) or Fund Party or any of that Relevant Fund's or Relevant Fund Segment's (as the case may be) assets or any agreement or instrument by which that Relevant Fund, Relevant Fund Segment (as the case may be) or Fund Party is bound or which affects any of that Relevant Fund's or Relevant Fund Segment's (as the case may be) assets; and
- (v) to the extent that that Relevant Fund is a Unit Trust, the Fund Party has the right to be indemnified out of the assets of that Relevant Fund in respect of any obligation undertaken or to be undertaken by the Fund Party under the Relevant ICM Documentation for the account of that Relevant Fund.

7.2 For each Relevant Fund Segment (if any), the Fund Party acting for the account of that Relevant Fund Segment represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that:

- (i) it has informed the investors of the fund to which that Relevant Fund Segment belongs of the contractual segregation between Fund Segments in connection with the Clearing and of any potential adverse economic effects which the conclusion of this Agreement may have for that Relevant Fund Segment in comparison to a uniform conclusion of the Agreement with the fund, respectively, and that the investors of the fund, respectively, to which that Relevant Fund Segment belongs are willing to bear the potential economic risks and adverse effects which are related to a conclusion with that Relevant Fund Segment; and the extent the KAG/KVG is acting for the account of the Relevant Fund Segment of a Fund managed by the KAG/KVG as Registered Customer, it represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that it has informed the investors of the Fund to which the Relevant Fund Segments belong in relation to potential adverse economic effects which the conclusion of this Agreement may have for a Relevant Fund Segment in comparison to a uniform conclusion of the Agreement with a Fund, and that the investors of the Fund to which the Relevant Fund Segments belong are willing to bear the potential economic risks and adverse effects which are related to a conclusion with the Relevant Fund Segments.

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(ii) to the extent applicable, the relevant funds prospectus contains the respective disclosure of the contractual segregation of Fund Segments and of any potential economic risk of such contractual segregation between Fund Segments as set forth above under paragraph (i) above.

8 Amendments, Term and Termination

- 8.1 In deviation from Part 1 Number 13 of this Agreement, an amendment of the Annex to this Part 4 in case of an accession of a new Relevant Fund or new Relevant Fund Segment, a renaming (*Umbenennung*) of a Relevant Fund or Relevant Fund Segment, a termination of a Relevant Fund or Relevant Fund Segment or a merger of Relevant Funds or Relevant Fund Segments may also be effected by the exchange of an amended Annex to this Part 4 countersigned by the Parties.
- 8.2 Such amendment to the Annex of this Part 4 in case of an accession of a new Relevant Fund or new Relevant Fund Segment, a merger by new establishment (*Verschmelzung durch Neugründung*) of a Relevant Fund or Relevant Fund Segment shall constitute a new Standard Agreement with ~~the KAG/KVG acting for the account of~~ the new or, in connection with the merger, newly established Relevant Fund or Relevant Fund Segment acting through the Fund Party.
- 8.3 In deviation from Part 1 Number 10 of this Agreement, the termination of this Agreement entered into by the ~~Fund Party KAG/KVG with respect to~~ acting for the account of a Relevant Fund or Relevant Fund Segment pursuant to Chapter I Part 1 Number 13.2.1 in connection with Number 13.1.1 of the Clearing Conditions may also be effected by the Fund Party ~~the KAG/KVG by~~ submitting to Eurex Clearing AG and the Clearing Member an amended Annex to this Part 4 in which ~~the~~ ate Relevant Fund or Relevant Fund Segment has been deleted.
- 8.4 Each reference in this Agreement to the Annex of this Part 4 shall be a reference to the Annex to Part 4 of this Agreement in its then current version.

Annex to Part 4

Legal Name of the Relevant Fund				
Name of the asset pool (fund)				
Member code of the CM				
Member code of the RC				
Specified Multiplier for calculation Margin Requirement				
Unique reference for the asset pool				
CBF/GS Securities Margin account				
CBF/GS Main account of CM				
CBF Int 6-series Securities Margin account				
CBF Int 6-series Main account of CM				
Pool ID				
Netting Parameter				
Clearing Currency				
Approved Trade System ID of asset pool (single fund)				
Request type				
Legal Entity Identifier (LEI/preLEI)				
Jurisdiction (ISO code)				

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(Place)

(Date)

(as Clearing-Member)

Name:

Name:

Function:

Function:

(~~Fund Party~~KAG/KVG acting for the account of the Relevant Funds ~~and~~or Relevant Fund Segments] listed in the Annex to Part 4 of this Agreement)

Name:

Name:

Function:

Function:

Eurex Clearing Aktiengesellschaft

(Eurex Clearing AG)

Name:

Name:

Function:

Function:

Reference	Description
Legal Name of the Relevant Fund	<p>Legal name of the Relevant Fund (Betreffendes Sondervermögen) or Relevant Fund Segment.</p> <p>In case of a Ssub-Ffund, the <u>Incorporated Fund, Contractual Fund or Unit Trust</u> fund to which the Ssub-Ffund relates to shall also be indicated.</p> <p>For purposes of a clear attribution in case of a Relevant Fund Segment the legal name of the <u>Incorporated Fund, Contractual Fund or Sub-Fund Fund</u> to which the Relevant Fund Segment belongs shall always be indicated as well (format: <name of the fFund>-<name of the Relevant Fund Segment>).</p>
Name of the asset pool (fund)	Name <u>Account name</u> of the <u>account of the individual segregated</u> Relevant Fund/Relevant Fund Segment (book_name).
Member code of the CM	Eurex Clearing Member ID of the relevant Clearing Member (CM).
Member code of the RC	Eurex Clearing Member ID of the Fund Manager / KAG / KVG acting on behalf of the Relevant Fund (Betreffendes Sondervermögen) or Relevant Fund Segment.
Specified Multiplier for calculation Margin Requirement	Multiplier agreed to determine the Margin Requirement value should be greater or equal 1.0000
Unique reference for the asset pool	<p>Via this unique 4-digit alphanumeric Client client reference ID securities collateral is assigned to the segregated Relevant Fund or segregated Relevant Fund Segment.</p> <p>The unique ref ID on Clearing Member level needs to appear in the SWIFT messages, when transferring securities collateral.</p>
CBF/GS Securities Margin account	Clearing Member's CBF-Subaccount for segregated clients.
CBF/GS Main account of CM	Designated account for the redelivery of margin collateral actually delivered in form of Securities. The designated CBF Main Account may be held either in the name of the Clearing Member or in the name of the custodian of the ICM Client at CBF.
CBF Int 6-series Securities Margin account	Clearing Member's Creation-Account for segregated clients.
CBF Int 6-series Main account	Designated account for the redelivery of margin collateral actually delivered in form of Securities. The designated Creation Main

Reference	Description
of CM	Account may be held in the name of the Clearing Member or in the name of the custodian of the ICM Client at CBF
Pool ID	Field will be populated automatically. Structure of the field "<Member Code of the RC>X<Member Code of the CM><Unique reference for the asset pool>".
Netting Parameter	<p>Netting Parameters — set per account/segregated Relevant Fund/segregated Relevant Fund Segment:</p> <p>— "O" (the default setting): Eligible trades marked with the same netting string will be netted together. By setting 'Y' for netting in the EurexOTC Clear GUI eligible trades are netted in the next EOD processing.</p> <p>— "Y": Eligible trades with the same netting string will be netted together. Also, eligible trades without netting string will be netted together in the next EOD processing.</p> <p>— "N": No netting will be performed on this account.</p>
Clearing Currency	Clearing Currency of the Clearing Member (EUR or CHF).
Approved Trade System ID of asset pool (single fund)	Approved Trade Source System ID of the Relevant Fund/Relevant Fund Segment.
Request type	Following request types are allowed: "add" and "delete" per request type a separate technical upload sheet has to be provided. In addition, an updated Annex, containing the up-to-date overall status of the reference data for the Relevant Fund or Relevant Fund Segment has to be provided.
Legal Entity Identifier (LEI/preLEI)	Legal Entity Identifier / Preliminary Legal Identifier allocated by authorized entities based on ISO Standard 17442 of the Relevant Fund (Betreffendes Sondervermögen).
Jurisdiction (ISO code)	ISO country code of the Relevant Fund (Betreffendes Sondervermögen).

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~~Part 5 — Special Provisions for the Clearing of Transactions with certain other forms of investment funds without legal personality or a sub-fund~~

~~The following provisions apply to the Clearing of Transactions with one of the following investment funds without legal personality or one of the sub-funds listed in (vii) or fund segments (i.e. a pool of assets of a fund segregated for book-keeping and technical settlement purposes and a pool of obligations entered into on account of such fund) listed in (viii) as an ICM Client or Registered Customer:~~

~~(i) — AUT;~~

~~(ii) — Lux FCP;~~

~~(iii) — French FCP;~~

~~(iv) — UT;~~

~~(v) — CCF;~~

~~(vi) — ILP;~~

~~(vii) a sub-fund of a Lux SICAV or Lux SICAF, French SICAV, Lux FCP, French FCP, IC, UT or CCF which in each case is an umbrella fund of such sub-fund (“Sub-Fund”); or~~

~~(viii) a fund segment of a Lux SICAV, Lux SICAF or Lux FCP including a fund segment of a sub-fund of such Lux SICAV, Lux SICAF or Lux FCP (“Fund Segment”).~~

~~9 — Definitions~~

~~Each Reference in this Agreement to an “ICM Client” or a “Registered Customer” shall~~

~~(i) — in the case of an AUT, be to the relevant trustee (including a fund manager acting as agent for such trustee) (the “AUT Fund Trustee”), acting, in each case, solely for a particular AUT as listed in the Annex to this Part 5 as ICM Client or Registered Customer;~~

~~(ii) — in the case of a Lux FCP, be to a certain Lux FCP as listed in the Annex to this Part 5, represented by its management company (*société de gestion*) (the “Lux FCP Management Company”) or an investment manager appointed by the Lux FCP Management Company (the “Lux Investment Manager”) as ICM Client or Registered Customer;~~

~~(iii) — in the case of a French FCP, be to a certain French FCP as listed in the Annex to this Part 5, represented by its management company (*société de gestion*) (the „French FCP Management Company“) or an investment manager appointed by the French FCP Management Company (the „French Investment Manager“ and together with a Lux Investment Manager, an „Investment Manager“) as ICM Client or Registered Customer;~~

~~(iv) — in the case of a UT, be to the relevant trustee (including a fund manager acting as agent for such trustee) (the “UT Fund Trustee” and together with an AUT Fund~~

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~~Trustee a “Fund Trustee”), acting, in each case, solely in respect of a particular UT as listed in the Annex to this Part 5 as ICM Client or Registered Customer;~~

~~(v) in the case of a CCF, be to the relevant management company (the “CCF Management Company” and together with a Lux FCP Management Company and a French FCP Management Company, a “Management Company”), acting, in each case, solely in respect of a particular CCF as listed in the Annex to this Part 5 as ICM Client or Registered Customer;~~

~~(vi) in the case of an ILP, be to the relevant general partner (including an investment manager acting as agent for such general partner) (the “General Partner”), acting, in each case, solely for the account of a particular ILP as listed in the Annex to this Part 5 as ICM Client or Registered Customer; or~~

~~(vii) in the case of a Sub-Fund, in each case, be to~~

~~(a) the particular Sub-Fund as listed in the Annex to this Part 5 of the relevant Lux SICAV or Lux SICAF as ICM Client or Registered Customer;~~

~~(b) the particular Sub-Fund as listed in the Annex to this Part 5 of the relevant French SICAV as ICM Client or Registered Customer;~~

~~(c) the particular Sub-Fund as listed in the Annex to this Part 5 of a Lux FCP, represented by the Lux FCP Management Company or the Lux Investment Manager as ICM Client or Registered Customer;~~

~~(d) the particular Sub-Fund as listed in the Annex to this Part 5 of a French FCP represented by the French FCP Management Company or the French Investment Manager as ICM Client or Registered Customer;~~

~~(e) the particular Sub-Fund as listed in the Annex to this Part 5 of an IC as ICM Client or Registered Customer;~~

~~(f) the particular Sub-Fund as listed in the Annex to this Part 5 of an UT represented by the Fund Trustee as ICM Client or Registered Customer; or~~

~~(g) the particular Sub-Fund as listed in the Annex to this Part 5 of an CCF represented by the Management Company as ICM Client or Registered Customer.~~

~~(viii) in the case of a Fund Segment, in each case be to~~

~~(a) the particular Fund Segment as listed in the Annex to this Part 5 of a Lux SICAV or Sub-Fund of a Lux SICAV or Lux SICAF as ICM Client or Registered Customer;~~

~~(b) the particular Fund Segment as listed in the Annex to this Part 5 of a Lux FCP or Sub-Fund of a Lux FCP as ICM Client or Registered Customer.~~

~~Each~~

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- ~~(i) AUT, for which the relevant Fund Trustee enters into this Agreement or into Transactions;~~
 - ~~(ii) Lux FCP, for which the relevant Management Company or the relevant Investment Manager enters into this Agreement or into Transactions;~~
 - ~~(iii) French FCP, for which the relevant Management Company or the relevant Investment Manager enters into this Agreement or into Transactions;~~
 - ~~(iv) UT, for which the relevant Fund Trustee enters into this Agreement or into Transactions;~~
 - ~~(v) CCF, for which the relevant Management Company enters into this Agreement or into Transactions;~~
 - ~~(vi) ILP, for which the relevant General Partner enters into this Agreement or into Transactions, and~~
 - ~~(vii) Sub-Fund, for which the relevant Lux SICAV, Lux SICAF, French SICAV, IC, Fund Trustee, Management Company or Investment Manager enters into this Agreement or into Transactions,~~
- ~~shall in this Part 5 be referred to as the "Relevant Fund".~~

~~1.3 Each~~

- ~~(i) Fund Segment for which the relevant Lux SICAV or Lux SICAF enters into this Agreement or into Transactions;~~
 - ~~(ii) Fund Segment for which the relevant Lux FCP Management Company or Lux Investment Manager enters into this Agreement or into Transactions,~~
- ~~shall in this Part 5 be referred to as the "Relevant Fund Segment".~~

~~1.4 Where this Part 5 refers to a Management Company or an Investment Manager acting for a particular French FCP, such reference shall be read as a reference to such French FCP as ICM Client or Registered Customer. Similarly, where this Part 5 refers to a French SICAV, Management Company or Investment Manager acting for a particular Sub-Fund, such reference shall be read as a reference to such Sub-Fund as ICM Client or Registered Customer.~~

~~Information Obligations, entering into Transactions and Standard Agreements~~

~~When entering into a Covered Transaction, each Fund Trustee, each Management Company, each Investment Manager, each Lux SICAV or Lux SICAF, each French SICAV, each IC or each General Partner shall inform Eurex Clearing AG and the Clearing Member, for which Relevant Fund and Relevant Fund Segment (if any) as the ICM Client such Covered Transaction is entered into.~~

~~All rights and obligations between the Clearing Member and the Fund Trustee, Management Company, Investment Manager, Lux SICAV or Lux SICAF, French SICAV, IC or General Partner in each case acting for a certain Relevant Fund or Relevant Fund~~

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~~Segment as the ICM Client with respect to Corresponding Covered Transactions shall for the purpose of this Agreement constitute a separate Standard Agreement.~~

~~A Standard Agreement applies in each case only (a) to the Corresponding Covered Transactions entered into between the Clearing Member and the Fund Trustee, the Management Company, the Investment Manager, the Lux SICAV or Lux SICAF, French SICAV, the IC or General Partner in each case acting for a certain Relevant Fund or Relevant Fund Segment, respectively, as the ICM Client and (b) to the corresponding Covered Transactions entered into between Eurex Clearing AG and the Clearing Member. No such Standard Agreement shall have any impact on the legal relationship between Eurex Clearing AG and the Clearing Member and between the Clearing Member and the Fund Trustee, Management Company, Investment Manager, Lux SICAV or Lux SICAF, French SICAV, IC or General Partner in each case acting for another Relevant Fund or another Relevant Fund Segment.~~

~~Eurex Clearing AG and the Clearing Member will separately account for the relevant positions and the relevant margin collateral provided under Covered Transactions entered into by the Fund Trustee, the Management Company, the Investment Manager, the Lux SICAV or Lux SICAF, the French SICAV, the IC or General Partner in each case acting for the Relevant Fund or Relevant Fund Segment.~~

~~Re-establishment of Transactions~~

~~The Fund Trustee, the Management Company, the Investment Manager, the relevant Lux SICAV or Lux SICAF, the French SICAV, the IC or General Partner will decide separately for each Relevant Fund as the ICM Client whether it declares an IP Election or an Immediate Re-Establishment Election pursuant to Chapter I Part 3 Subpart B Number 5 of the Clearing Conditions.~~

~~With respect to the Relevant Fund Segments of a single fund, the Lux FCP Management Company, the Lux Investment Manager, the Lux SICAV or Lux SICAF may only decide collectively for all such Relevant Fund Segments as the ICM Clients whether it declares an IP Election or an Immediate Re-Establishment Election pursuant to Chapter I Part 3 Subpart B Number 5 of the Clearing Conditions.~~

~~Set-off~~

~~The set-off of claims of the ICM Client with claims of another ICM Client or other claims shall be excluded.~~

~~No change of Clearing model~~

~~The ICM Client may only enter into a Clearing Agreement or Participation Agreement in the form appended to the Clearing Conditions as Appendix 3 or 4. A change of the clearing model is not possible.~~

~~Confirmation to Eurex Clearing AG~~

~~6.1 To the extent the Lux SICAV / Lux SICAF or the relevant Management Company or the relevant Investment Manager of a Lux FCP, respectively, is acting for the account of a Relevant Fund Segment of such Lux SICAV / Lux SICAF or Lux FCP, respectively, as the~~

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~~ICM Client, it represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that it has informed the investors of the Lux SICAV / Lux SICAF or Lux FCP, respectively, to which the Relevant Fund Segments belong of the contractual segregation between Fund Segments in connection with the Clearing and of any potential adverse economic effects which the conclusion of this Agreement may have for the Relevant Fund Segment in comparison to a uniform conclusion of the Agreement with the Lux SICAV / Lux SICAF or Lux FCP, respectively, and that the investors of the Lux SICAV / Lux SICAF, Lux FCP or Sub-Fund thereof, respectively, to which the Relevant Fund Segments belong are willing to bear the potential economic risks and adverse effects which are related to a conclusion with the Relevant Fund Segments.~~

~~6.2 The Lux SICAV / Lux SICAF or the relevant Management Company or the relevant Investment Manager of a Lux FCP, respectively, further represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that the relevant funds prospectus which has been approved by the relevant competent regulatory authorities contains the respective disclosure of the contractual segregation of Fund Segments and of any potential economic risk of such contractual segregation between Fund Segments as set forth above under 6.1.~~

~~Amendments, Term and Termination~~

~~In deviation from Part 1 Number 13 of this Agreement, an amendment of the Annex to this Part 5 in case of an accession of a new Relevant Fund or Relevant Fund Segment, a renaming (*Umbenennung*) of a Relevant Fund or Relevant Fund Segment, a termination of a Relevant Fund or Relevant Fund Segment, or a merger of Relevant Funds or Relevant Fund Segment, may also be effected by the exchange of an amended Annex to this Part 5 countersigned by the Parties.~~

~~Such amendment to the Annex of this Part 5 in case of an accession of a new Relevant Fund or Relevant Fund Segment, or a merger by new establishment of a Relevant Fund or Relevant Fund Segment shall constitute a new Standard Agreement with the Fund Trustee, the Management Company, the Investment Manager, the Lux SICAV or Lux SICAF, the French SICAV, the IC or the General Partner in each case acting for the new or, in connection with the merger, newly established Relevant Fund or Relevant Fund Segment as the ICM Client.~~

~~In deviation from Part 1 Number 10 of this Agreement the termination of this Agreement entered into with respect to a Relevant Fund or Relevant Fund Segment pursuant to Chapter I Part 1 Number 13.2.1 in connection with Number 13.1.1 of the Clearing Conditions may also be effected by the the Fund Trustee, the Management Company, the Investment Manager, the Lux SICAV or Lux SICAF, the French SICAV, the IC or the General Partner by submitting to Eurex Clearing AG and the Clearing Member an amended Annex to this Part 5 in which the Relevant Fund or Relevant Fund Segment has been deleted.~~

~~Each reference in this Agreement to Annex of this Part 5 shall be a reference to the Annex to Part 5 of this Agreement in its then current version.~~

Annex to Part 5

Legal Name of the Relevant Fund				
Name of the asset pool (fund)				
Member code of the CM				
Member code of the RC				
Specified Multiplier for calculation Margin Requirement				
Unique reference for the asset pool				
CBF/GS Securities Margin account				
CBF/GS Main account of CM				
CBF Int 6-series Securities Margin account				
CBF Int 6-series Main account of CM				
Pool ID				
Netting Parameter				
Clearing Currency				
Approved Trade System ID of asset pool (single fund)				
Request type				
Legal Entity Identifier (LEI/preLEI)				
Jurisdiction (ISO code)				

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(Place)

(Date)

(as ~~Clearing-Member~~)

Name:

Name:

Function:

Function:

(~~Fund Trustee/Management Company/Investment Manager/Lux SICAV/Lux SICAF/French SICAV/IC/General Partner~~) in each case acting for the **Relevant Funds [or Relevant Fund Segments]** listed in the Annex to Part 5 of this Agreement as the ICM-Client)

Name:

Name:

Function:

Function:

Eurex Clearing Aktiengesellschaft

(~~Eurex Clearing AG~~)

Name:

Name:

Function:

Function:

Reference	Description
Legal Name of the Relevant Fund	<p>Legal name of the Relevant Fund or Relevant Fund Segment.</p> <p>In case of a Sub-Fund, the umbrella fund of which the Sub-Fund is a sub-fund shall also be indicated.</p> <p>For purposes of a clear attribution in case of a Relevant Fund Segment the legal name of the fund to which the Relevant Fund Segment belongs shall always be indicated as well (format: <name of the Fund><name of the Relevant Fund Segment>).</p>
Name of the asset pool (fund)	Name of the individual segregated Relevant Fund/Relevant Fund Segment (book_name).
Member code of the CM	Eurex Clearing Member ID of the relevant Clearing Member (CM).
Member code of the RC	Eurex Clearing Member ID of the relevant Fund Trustee, the relevant Management Company, the relevant Investment Manager, the relevant Lux SICAV / Lux SICAF, French SICAV, the relevant IC or the relevant General Partner in each case acting on behalf of the Relevant Fund or Relevant Fund Segment.
Specified Multiplier for calculation Margin Requirement	Multiplier agreed to determine the Margin Requirement value should be greater or equal 1.0000.
Unique reference for the asset pool	<p>Via this unique 4-digit alphanumeric client reference ID securities collateral is assigned to the segregated Relevant Fund or segregated Relevant Fund Segment.</p> <p>The unique ref ID on Clearing Member level needs to appear in the SWIFT messages, when transferring securities collateral.</p>
CBF/GS Securities Margin account	Clearing Member's CBF-Subaccount for segregated clients.
CBF/GS Main account of CM	Designated account for the redelivery of margin collateral actually delivered in form of Securities. The designated CBF Main Account may be held either in the name of the Clearing Member or in the name of the custodian of the ICM Client at CBF.
CBF Int 6-series Securities Margin account	Clearing Member's Creation Account for segregated clients.
CBF Int 6-series Main account of CM	Designated account for the redelivery of margin collateral actually delivered in form of Securities. The designated Creation Main Account may be held in the name of the Clearing Member, or in

Reference	Description
	the name of the custodian of the IGM Client at CBF.
Pool ID	Field will be populated automatically. Structure of the field "<Member Code of the RG>X<Member Code of the CM><Unique reference for the asset pool>".
Netting Parameter	Netting Parameters— set per account/segregated Relevant Fund/segregated Relevant Fund Segment:
	<p>—— "O":(the default setting): Eligible trades marked with the same netting string will be netted together. By setting 'Y' for netting in the EurexOTC Clear GUI eligible trades are netted in the next EOD processing.</p> <p>—— "Y": Eligible trades with the same netting string will be netted together. Also, eligible trades without netting string will be netted together in the next EOD processing.</p> <p>—— "N": No netting will be performed on this account.</p>
Clearing Currency	Clearing Currency of the Clearing Member (EUR or CHF).
Approved Trade System ID of asset pool (single fund)	Approved Trade Source System ID of the Relevant Fund/ Relevant Fund Segment.
Request type	<p>Following request types are allowed: "add" and "delete" per request type a separate technical upload sheet has to be provided.</p> <p>In addition an updated Annex containing the up-to-date overall status of the reference data for the Relevant Fund or Relevant Fund Segment has to be provided.</p>
Legal Entity Identifier (LEI/preLEI)	Legal Entity Identifier / Preliminary Legal Identifier allocated by authorized entities based on ISO Standard 17442 of the Relevant Fund
Jurisdiction (ISO code)	ISO country code of the Relevant Fund.

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SIGNATURES
to the Clearing Agreement

(Place)

(Date)

(as Clearing-Member)

Name:

Name:

Function:

Function:

(as ICM Client)

Name:

Name:

Function:

Function:

Eurex Clearing Aktiengesellschaft

(Eurex Clearing AG)

Name:

Name:

Function:

Function:

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**Appendix 4 to the Clearing Conditions:
Agreement for the Participation in the Individual Clearing Model under Client Clearing
Documentation with a Non-Clearing Member and/or Registered Customer**

[...]

Part 4 Special Provisions for the Clearing of Transactions with certain types of investment funds~~a German Investment Company (Kapitalanlagegesellschaft) or a German Management Company (Kapitalverwaltungsgesellschaft)~~

The following provisions apply to the Clearing of Transactions with Contractual Funds, Partnerships, Unit Trusts, Sub-Funds and Fund Segments~~, a German investment company (Kapitalanlagegesellschaft) ("KAG") within the meaning of the German Investment Act (Investmentgesetz) ("InvG") or a German management company (Kapitalverwaltungsgesellschaft) ("KVG") within the meaning of the German Capital Investment Act (Kapitalanlagegesetzbuch) ("KAGB")~~:

1 Definitions and interpretation

~~In this Agreement a "Fund" is a separate fund managed by the KAG within the meaning of section 2 para. (2) InvG or by the KVG within the meaning of section 1 para. (10), including a sub-fund (Teilfonds) within the meaning of section 34 para. (2) InvG or a sub-fund (Teilsondervermögen) within the meaning of section 96 para. (2) KAGB.~~

~~In this Agreement a "Fund-Segment" of a Fund is a pool of assets of a "Fund" segregated for book-keeping and technical settlement purposes and a pool of obligations entered into on account of such Fund.~~

1.1 Each ~~r~~Reference in this Agreement to an "ICM Client" or a "Registered Customer" shall be to a particular Relevant Fund or Relevant Fund Segment, in each case the KAG/KVG acting in each case for the account of a certain Fund or Fund Segment of a Fund managed by the KAG/KVG as listed in the Annex to this Part 4 acting through the Fund Party as ICM Client or Registered Customer.

1.2 In this Part 4:

~~(i) each Each Contractual Fund, Partnership, Unit Trust or Sub-Fund listed in the Annex to this Part 4 Fund for the account of which the KAG/KVG enters into this Agreement or into Transactions shall in this Part 4 be referred to as a the "Relevant Fund";~~

~~(ii) 1.3each Each Fund Segment listed in the Annex to this Part 4 for the account of which the KAG/KVG enters into this Agreement or into Transactions shall in this Part 4 be referred to as a the "Relevant Fund Segment"; and~~

~~(iii) "Fund Party" means, as applicable, the manager, general partner, trustee or Incorporated Fund acting on behalf and for the account of a Relevant Fund or Relevant Fund Segment, as the case may be.~~

1.3 References in this Agreement to a Unit Trust "entering into" a Clearing Agreement, ICM Participation Agreement or Covered Transaction through the Fund Party shall be

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construed as the Fund Party as trustee of that Unit Trust entering in that Clearing Agreement, ICM Participation Agreement or Covered Transaction, as the case may be. In addition, references in this Part 4 to a Unit Trust "acting through" the Fund Party shall be construed as the Fund Party acting as trustee of that Unit Trust.

2 **Included Transactions for certain types of Contractual Funds**

In deviation ~~from~~ Part 3 of this Agreement, only OTC Credit Derivative Transactions pursuant to Chapter VIII Part 2 and OTC Interest Rate Derivatives pursuant to Chapter VIII Part 3 may be included in the Clearing for Contractual Funds in the form of a Sondervermögen within the meaning of the German Investment Act (Investmentgesetz) or the German Capital Investment Act (Kapitalanlagegesetzbuch) or a Sub-Fund or Fund Segment thereof.

3 **Information Obligations, entering into Transactions and Standard Agreements**

3.1 When entering into a Covered Transaction for the account of a Relevant Fund or Relevant Fund Segment, the ~~Fund Party~~KAG/KVG shall in each case inform Eurex Clearing AG and the Clearing Member for ~~the account of~~ which Relevant Fund or Relevant Fund Segment ~~the KAG/KVG enters into such that~~ Covered Transaction is being entered into.

3.2 In addition to Subpart D Number 2.1.2 of the Individual Clearing Model Provisions, the applicable Client Clearing Agreement must constitute a separate Client Clearing Agreement between the Clearing Member and each and the KAG/KVG acting for the account of a certain Relevant Fund or Relevant Fund Segment, as the case may be, acting through the Fund Party as ICM Client and ~~that~~ such separate Client Clearing Agreement between the Clearing Member and each the KAG/KVG acting for the account of a certain Relevant Fund or Relevant Fund Segment, as the case may be, acting through the Fund Party as ICM Client must fulfils the requirements of an Eligible Client Clearing Agreement.

3.3 The No such separate Client Clearing Agreements referred to in paragraph 3.2 above shall not have any impact on the legal relationship between Eurex Clearing AG and the Clearing Member and between the Clearing Member and ~~the KAG/KVG acting for the account of any~~ other Relevant Fund or ~~another Relevant~~ Fund Segment.

3.43 Eurex Clearing AG and the Clearing Member will separately account for the relevant positions and the relevant margin collateral provided under Covered Transactions entered into by ~~the KAG/KVG acting for the account of each the~~ Relevant Fund and each Relevant Fund Segment.

4 **Re-establishment of Transactions**

4.1 The ~~Fund Party KAG/KVG~~ may decide separately for each Relevant Fund whether it declares an IP Election or an Immediate Re-Establishment Election pursuant to Chapter I Part 3 Subpart B Number 5 of the Clearing Conditions.

4.2 With respect to the Relevant Fund Segments of a particular Incorporated Fund, Contractual Fund or Sub Fund~~single Fund~~, the ~~Fund Party KAG/KVG~~ may only decide

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collectively for all such Relevant Fund Segments whether it declares an IP Election or an Immediate Re-Establishment Election pursuant to Chapter I Part 3 Subpart B Number 5 of the Clearing Conditions.

5 Set-off

The set-off of claims of ~~at the Relevant Fund or Relevant Fund Segment, in each case acting through the Fund Party, ICM Client~~ with or against claims of another ICM Client or any other claims shall be excluded.

6 No change of Clearing model

~~Each The Relevant Fund and each Relevant Fund Segment, in each case acting through the Fund Party ICM Client~~ may only enter into a Clearing Agreement or ICM Participation Agreement in the form appended to the Clearing Conditions as Appendix 3 or 4. A change of the clearing model is not possible.

7 Representations and Undertakings Confirmation to Eurex Clearing AG

7.1 For each Relevant Fund and each Relevant Fund Segment, —the Clearing Member and the Fund Party acting for the account of that Relevant Fund or Relevant Fund Segmen (as the case may be)t, severally not jointly, represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that:

- (i) the Fund Party has the power to enter into and perform the Relevant ICM Documentation and any other documentation relating to the Relevant ICM Documentation for the account of that Relevant Fund or Fund Segment, as the case may be;
- (ii) that Relevant Fund or Relevant Fund Segment, as the case may be, has been established in compliance with applicable law and is legally existent;
- (iii) that Relevant Fund or Relevant Fund Segment, as the case may be, and/or its Fund Party (as applicable) are, where required to be, registered and/ or regulated in their respective jurisdiction and supervised by and/or subject to the powers of the competent supervisory authorities in their respective countries of origin;
- (iv) the entry into and performance of the Relevant ICM Documentation and any other documentation relating to the Relevant ICM Documentation by that Relevant Fund or Relevant Fund Segment, as the case may be, acting through the relevant Fund Party do not conflict with any law or regulation, in particular investment laws and regulations, applicable to that Relevant Fund or Relevant Fund Segment (as the case may be) or Fund Party, any provision of that Relevant Fund's or Relevant Fund Segment's (as the case may be) constitutional documents, any order or judgment of any court or other agency of government applicable to that Relevant Fund or Relevant Fund Segment (as the case may be) or Fund Party or any of that Relevant Fund's or Relevant Fund Segment's (as the case may be) assets or any agreement or instrument by which that Relevant Fund or Relevant Fund Segment (as the case

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may be) or Fund Party is bound or which affects any of that Relevant Fund's or Relevant Fund Segment's (as the case may be) assets; and

(v) to the extent that that Relevant Fund is a Unit Trust, the Fund Party has the right to be indemnified out of the assets of that Relevant Fund in respect of any obligation undertaken or to be undertaken by the Fund Party under the Relevant ICM Documentation for the account of that Relevant Fund.

7.2 For each Relevant Fund Segment (if any), the Fund Party acting for the account of that Relevant Fund Segment it represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that

(i) it has informed the investors of the fund to which that Relevant Fund Segment belongs of the contractual segregation between Fund Segments in connection with the Clearing and of any potential adverse economic effects which the conclusion of this Agreement may have for that Relevant Fund Segment in comparison to a uniform conclusion of the Agreement with the fund, respectively, and that the investors of the fund, respectively, to which that Relevant Fund Segment belongs are willing to bear the potential economic risks and adverse effects which are related to a conclusion with that Relevant Fund Segment; and

(ii) to the extent applicable, the relevant funds prospectus contains the respective disclosure of the contractual segregation of Fund Segments and of any potential economic risk of such contractual segregation between Fund Segments as set forth above under paragraph (i) above. To the extent the KAG/KVG is acting for the account of the Relevant Fund Segment of a Fund managed by the KAG/KVG as Registered Customer, it represents and warrants by way of an independent guarantee and irrespective of fault (*selbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that it has informed the investors of the Fund to which the Relevant Fund Segments belong in relation to potential adverse economic effects which the conclusion of this Agreement may have for a Relevant Fund Segment in comparison to a uniform conclusion of the Agreement with a Fund, and that the investors of the Fund to which the Relevant Fund Segments belong are willing to bear the potential economic risks and adverse effects which are related to a conclusion with the Relevant Fund Segments.

8 Amendments, Term and Termination

8.1 In deviation from Part 1 Number 10 of this Agreement, an amendment of the Annex to this Part 4 in case of an accession of a new Relevant Fund or new Relevant Fund Segment, a renaming (*Umbenennung*) of a Relevant Fund or Relevant Fund Segment, a termination of a Relevant Fund or Relevant Fund Segment or a merger of Relevant Funds or Relevant Fund Segments may also be effected by the exchange of an amended Annex to this Part 4 countersigned by the Parties.

8.2 Such amendment to the Annex of this Part 4 in case of an accession of a new Relevant Fund or new Relevant Fund Segment, a merger by new establishment (*Verschmelzung durch Neugründung*) of a Relevant Fund or Relevant Fund Segment shall constitute a

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new Standard Agreement with the ~~KAG/KVG acting for the account of the~~ new or, in connection with the merger, newly established Relevant Fund or Relevant Fund Segment acting through the Fund Party.

- 8.3 In deviation from Part 1 Number 9 of this Agreement, the termination of this Agreement, entered into by the Fund Party ~~KAG/KVG with respect to a~~ acting for the account of a Relevant Fund or Relevant Fund Segment pursuant to Chapter I Part 1 Number 13.2.1 in connection with Number 13.1.1 of the Clearing Conditions may also be effected by the Fund Party ~~KAG/KVG by~~ submitting to Eurex Clearing AG and the Clearing Member an amended Annex to this Part 4 in which the ~~ate~~ Relevant Fund or Relevant Fund Segment has been deleted.
- 8.4 Each reference in this Agreement to the Annex of this Part 4 shall be a reference to the Annex to Part 4 of this Agreement in its then current version.
- 8.5 In any case described in this Number ~~87~~, a corresponding amendment or replacement of the Client Clearing Agreement, which must be in compliance with the ICM-CCD Provisions, has to be evidenced to Eurex Clearing AG to its full satisfaction beforehand.

Annex to Part 4

Legal Name of the Relevant Fund				
Name of the asset pool (fund)				
Member code of the CM				
Member code of the RC				
Specified Multiplier for calculation Margin Requirement				
Unique reference for the asset pool				
CBF/GS Securities Margin account				
CBF/GS Main account of CM				
CBF Int 6-series Securities Margin account				
CBF Int 6-series Main account of CM				
Pool ID				
Netting Parameter				
Clearing Currency				
Approved Trade System ID of asset pool (single fund)				
Request type				
Legal Entity Identifier (LEI/preLEI)				
Jurisdiction (ISO code)				

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(Place)

(Date)

(as Clearing-Member)

Name:

Name:

Function:

Function:

(Fund Party KAG/KVG acting for the account of the Relevant Funds [and/or Relevant Fund Segments] listed in the Annex to Part 4 of this Agreement)

Name:

Name:

Function:

Function:

Eurex Clearing Aktiengesellschaft

(Eurex Clearing AG)

Name:

Name:

Function:

Function:

Reference	Description
Legal Name of the Relevant Fund	<p>Legal name of the Relevant Fund (Betreffendes Sondervermögen) or Relevant Fund Segment.</p> <p>In case of a Ssub-Ffund, the <u>Incorporated Fund, Contractual Fund or Unit Trust, fund</u> to which the Ssub-Ffund relates to shall also be indicated.</p> <p>For purposes of a clear attribution in case of a Relevant Fund Segment the legal name of the <u>Incorporated Fund, Contractual Fund or Sub-Fund Fund</u> to which the Relevant Fund Segment belongs shall always be indicated as well (format: <name of the fFund>-<name of the Relevant Fund Segment>).</p>
Name of the asset pool (fund)	Name <u>Account name</u> of the <u>account of the individual segregated</u> Relevant Fund/Relevant Fund Segment (book_name).
Member code of the CM	Eurex Clearing Member ID of the relevant Clearing Member (CM).
Member code of the RC	Eurex Clearing Member ID of the Fund Party acting for the account and on behalf of the Relevant Fund or Relevant Fund Segment / KAG / KVG.
Specified Multiplier for calculation Margin Requirement	Multiplier agreed to determine the Margin Requirement value should be greater or equal 1.0000
Unique reference for the asset pool	<p>Via this unique 4-digit alphanumeric client reference ID securities collateral is assigned to the segregated Relevant Fund or segregated Relevant Fund Segment.</p> <p>The unique ref ID on Clearing Member level needs to appear in the SWIFT messages, when transferring securities collateral.</p>
CBF/GS Securities Margin account	Clearing Member's CBF-Subaccount for segregated clients.
CBF/GS Main account of CM	Designated account for the redelivery of margin collateral actually delivered in form of Securities. The designated CBF Main Account may be held either in the name of the Clearing Member or in the name of the custodian of the ICM Client at CBF.
CBF Int 6-series Securities Margin account	Clearing Member's Creation-Account for segregated clients.
CBF Int 6-series Main account	Designated account for the redelivery of margin collateral actually delivered in form of Securities. The designated Creation Main

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Reference	Description
of CM	Account may be held in the name of the Clearing Member or in the name of the custodian of the ICM Client at CBF.
Pool ID	Field will be populated automatically. Structure of the field "<Member Code of the RC>X<Member Code of the CM><Unique reference for the asset pool>".
Netting Parameter	Netting Parameters — set per account/segregated Relevant Fund/segregated Relevant Fund Segment: — "O" (the default setting): Eligible trades marked with the same netting string will be netted together. By setting 'Y' for netting in the EurexOTC Clear GUI eligible trades are netted in the next EOD processing. — "Y": Eligible trades with the same netting string will be netted together. Also, eligible trades without netting string will be netted together in the next EOD processing. — "N": No netting will be performed on this account.
Clearing Currency	Clearing Currency of the Clearing Member (EUR or CHF).
Approved Trade System ID of asset pool (single fund)	Approved Trade Source System ID of the Relevant Fund /Relevant Fund Segment.
Request type	Following request types are allowed: "add" and "delete" per request type a separate technical upload sheet has to be provided. In addition, an updated Annex containing the up-to-date overall status of the reference data for the Relevant Fund or Relevant Fund Segment has to be provided.
Legal Entity Identifier (LEI/preLEI)	Legal Entity Identifier / Preliminary Legal Identifier allocated by authorized entities based on ISO Standard 17442 of the Relevant Fund (Betreffendes Sondervermögen).
Jurisdiction (ISO code)	ISO country code of the Relevant Fund (Betreffendes Sondervermögen)

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Part 5 ~~Special Provisions for the Clearing of Transactions with certain other forms of investment funds without legal personality or a sub-fund~~

~~The following provisions apply to the Clearing of Transactions with one of the following investment funds without legal personality or one of the sub-funds listed in (vii) or one of the fund segments (i.e. a pool of assets of a fund segregated for book-keeping and technical settlement purposes and a pool of obligations entered into on account of such fund) listed in (viii) as an ICM Client or Registered Customer:~~

- ~~(i) AUT;~~
- ~~(ii) Lux FCP;~~
- ~~(iii) French FCP;~~
- ~~(iv) UT;~~
- ~~(v) CCF;~~
- ~~(vi) ILP;~~
- ~~(vii) a sub-fund of a Lux SICAV or Lux SICAF, French SICAV, Lux FCP, French FCP, IC, UT or CCF which in each case is an umbrella fund of such sub-fund (“**Sub-Fund**”); or~~
- ~~(viii) a fund segment of a Lux SICAV, Lux SICAF or Lux FCP including a fund segment of a sub-fund of such Lux SICAV, Lux SICAF or Lux FCP (“**Fund Segment**”).~~

1 ~~Definitions~~

~~Each Reference in this Agreement to an “**ICM Client**” or a “**Registered Customer**” shall~~

- ~~(i) in the case of an AUT, be to the relevant trustee (including a fund manager acting as agent for such trustee) (the “**AUT Fund Trustee**”), acting, in each case, solely for a particular AUT as listed in the Annex to this Part 5 as ICM Client or Registered Customer;~~
- ~~(ii) in the case of a Lux FCP, be to a certain Lux FCP as listed in the Annex to this Part 5, represented by its management company (*société de gestion*) (the “**Lux FCP Management Company**”) or an investment manager appointed by the Management Company (the “**Lux Investment Manager**”) as ICM Client or Registered Customer;~~
- ~~(iii) in the case of a French FCP, be to a certain French FCP as listed in the Annex to this Part 5, represented by its management company (*société de gestion*) (the “**French FCP Management Company**”) or an investment manager appointed by the French FCP Management Company (the “**French Investment Manager**” and together with a Lux Investment Manager an “**Investment Manager**”) as ICM Client or Registered Customer;~~
- ~~(iv) in the case of a UT, be to the relevant trustee (including a fund manager acting as agent for such trustee) (the “**UT Fund Trustee**” and together with an AUT Fund~~

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~~Trustee a “Fund Trustee”), acting, in each case, solely in respect of a particular UT as listed in the Annex to this Part 5 as ICM Client or Registered Customer;~~

~~(v) in the case of a CCF, be to the relevant management company (the “CCF Management Company” and together with a Lux FCP Management Company and a French FCP Management Company, a “Management Company”), acting, in each case, solely in respect of a particular CCF as listed in the Annex to this Part 5 as ICM Client or Registered Customer;~~

~~(vi) in the case of an ILP, be to the relevant general partner (including an investment manager acting as agent for such general partner) (the “General Partner”), acting, in each case, solely for the account of a particular ILP as listed in the Annex to this Part 5 as ICM Client or Registered Customer; or~~

~~(vii) in the case of a Sub-Fund, in each case, be to~~

~~(a) the particular Sub-Fund as listed in the Annex to this Part 5 of the relevant Lux SICAV or Lux SICAF as ICM Client or Registered Customer;~~

~~(b) the particular Sub-Fund as listed in the Annex to this Part 5 of the relevant French SICAV as ICM Client or Registered Customer;~~

~~(c) the particular Sub-Fund as listed in the Annex to this Part 5 of a Lux FCP, represented by the Lux FCP Management Company or the Lux Investment Manager as ICM Client or Registered Customer;~~

~~(d) the particular Sub-Fund as listed in the Annex to this Part 5 of a French FCP represented by the French FCP Management Company or the French Investment Manager as ICM Client or Registered Customer;~~

~~(e) the particular Sub-Fund as listed in the Annex to this Part 5 of an IC as ICM Client or Registered Customer;~~

~~(f) the particular Sub-Fund as listed in the Annex to this Part 5 of an UT represented by the Fund Trustee as ICM Client or Registered Customer; or~~

~~(g) the particular Sub-Fund as listed in the Annex to this Part 5 of an CCF represented by the Management Company as ICM Client or Registered Customer.~~

~~(viii) in the case of a Fund Segment, in each case be to~~

~~(a) the particular Fund Segment as listed in the Annex to this Part 5 of a Lux SICAV or Sub-Fund of a Lux SICAV or Lux SICAF as ICM Client or Registered Customer;~~

~~(b) the particular Fund Segment as listed in the Annex to this Part 5 of a Lux FCP or Sub-Fund of a Lux FCP as ICM Client or Registered Customer.~~

Each

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- ~~(i) AUT, for which the relevant Fund Trustee enters into this Agreement or into Transactions;~~
- ~~(ii) Lux FCP, for which the relevant Management Company or the relevant Investment Manager enters into this Agreement or into Transactions;~~
- ~~(iii) French FCP, for which the relevant Management Company or the relevant Investment Manager enters into this Agreement or into Transactions;~~
- ~~(iv) UT, for which the relevant Fund Trustee enters into this Agreement or into Transactions;~~
- ~~(v) CCF, for which the relevant Management Company enters into this Agreement or into Transactions;~~
- ~~(vi) ILP, for which the relevant General Partner enters into this Agreement or into Transactions, and~~
- ~~(vii) Sub-Fund, for which the relevant Lux SICAV, Lux SICAF, French SICAV, Management Company, IC, Fund Trustee or Investment Manager enters into this Agreement or into Transactions;~~

~~shall in this Part 5 be referred to as the “**Relevant Fund**”.~~

~~1.3 Each~~

- ~~(i) Fund Segment for which the relevant Lux SICAV or Lux SICAF enters into this Agreement or into Transactions;~~
- ~~(ii) Fund Segment for which the relevant Lux FCP Management Company or Lux FCP Investment Manager enters into this Agreement or into Transactions;~~

~~shall in this Part 5 be referred to as the “**Relevant Fund Segment**”.~~

~~1.4 Where this Part 5 refers to a Management Company or an Investment Manager acting for a particular French FCP, such reference shall be read as a reference to such French FCP as ICM Client or Registered Customer. Similarly, where this Part 5 refers to a French SICAV, Management Company or Investment Manager acting for a particular Sub-Fund, such reference shall be read as a reference to such Sub-Fund as ICM Client or Registered Customer.~~

~~9 Information Obligations, entering into Transactions and Standard Agreements~~

~~When entering into a Covered Transaction, each Fund Trustee, each Management Company, each Investment Manager, each Lux SICAV or Lux SICAF, each French SICAV, each IC or General Partner shall inform Eurex Clearing AG and the Clearing Member, for which Relevant Fund and Relevant Fund Segment (if any) such Covered Transaction is entered into.~~

~~In addition to Subpart D Number 2.1.2 of the Individual Clearing Model Provisions, the applicable Client Clearing Agreement must constitute a separate Client Clearing Agreement between the Clearing Member and the Fund Trustee, the Management~~

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~~Company, the Investment Manager, the Lux SICAV or Lux SICAF, the French SICAV, the IC or General Partner in each case acting for a certain Relevant Fund or Relevant Fund Segment as ICM Client, respectively, and that such separate Client Clearing Agreement between the Clearing Member and the Fund Trustee, the Management Company, the Investment Manager, the Lux SICAV or Lux SICAF, the French SICAV, the IC or General Partner in each case acting for a certain Relevant Fund or Relevant Fund Segment as ICM Client fulfils the requirement of an Eligible Client Clearing Agreement. No such separate Client Clearing Agreement shall have any impact on the legal relationship between Eurex Clearing AG and the Clearing Member and between the Clearing Member and the Fund Trustee, the Management Company, the Investment Manager, the Lux SICAV or Lux SICAF, the French SICAV, the IC or General Partner in each case acting for another Relevant Fund or another Relevant Fund Segment as ICM Client.~~

~~Eurex Clearing AG and the Clearing Member will separately account for the relevant positions and the relevant margin collateral provided under Covered Transactions entered into by the Fund Trustee, the Management Company, the Investment Manager, the Lux SICAV or Lux SICAF, the French SICAV, the IC or the General Partner acting for the Relevant Fund or Relevant Fund Segment.~~

~~10 Re-establishment of Transactions~~

~~The Fund Trustee, the Management Company, the Investment Manager, the relevant Lux SICAV or Lux SICAF, the French SICAV, the IC or General Partner will decide separately for each Relevant Fund as the ICM Client whether it declares an IP Election or an Immediate Re-Establishment Election pursuant to Chapter I Part 3 Subpart B Number 5 of the Clearing Conditions.~~

~~With respect to the Relevant Fund Segments of a single fund, the Lux FCP Management Company, the Lux FCP Investment Manager, the Lux SICAV or Lux SICAF may only decide collectively for all such Relevant Fund Segments as the ICM Clients whether it declares an IP Election or an Immediate Re-Establishment Election pursuant to Chapter I Part 3 Subpart B Number 5 of the Clearing Conditions.~~

~~11 Set-off~~

~~The set-off of claims of the ICM Client with claims of another ICM Client or other claims shall be excluded.~~

~~12 No change of Clearing model~~

~~The ICM Client may only enter into a Clearing Agreement or ICM Participation Agreement in the form appended to the Clearing Conditions as Appendix 3 or 4. A change of the clearing model is not possible.~~

~~13 Confirmation to Eurex Clearing AG~~

~~6.1 To the extent the Lux SICAV / Lux SICAF or the relevant Management Company or the relevant Investment Manager of a Lux FCP, respectively, is acting for the account of a Relevant Fund Segment of such Lux SICAV / Lux SICAF or Lux FCP, respectively, as the ICM Client, it represents and warrants by way of an independent guarantee and~~

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~~irrespective of fault (*solbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that it has informed the investors of the Lux SICAV / Lux SICAF or Lux FCP, respectively, to which the Relevant Fund Segments belong of the contractual segregation between Fund Segments in connection with the Clearing and of any potential adverse economic effects which the conclusion of this Agreement may have for the Relevant Fund Segment in comparison to a uniform conclusion of the Agreement with the Lux SICAV / Lux SICAF or Lux FCP, respectively, and that the investors of the Lux SICAV / Lux SICAF, Lux FCP or Sub-Fund thereof, respectively, to which the Relevant Fund Segments belong are willing to bear the potential economic risks and adverse effects which are related to a conclusion with the Relevant Fund Segments.~~

~~6.2 The Lux SICAV / Lux SICAF or the relevant Management Company or the relevant Investment Manager of a Lux FCP, respectively, further represents and warrants by way of an independent guarantee and irrespective of fault (*solbständiges, verschuldensunabhängiges Garantieverprechen*) to Eurex Clearing AG that the relevant funds prospectus which has been approved by the relevant competent regulatory authorities contains the respective disclosure of the contractual segregation of Fund Segments and of any potential economic risk of such contractual segregation between Fund Segments as set forth above under 6.1.~~

~~14 Amendments, Term and Termination~~

~~In deviation from Part 1 Number 10 of this Agreement, an amendment of the Annex to this Part 5 in case of an accession of a new Relevant Fund or Relevant Fund Segment, a renaming (*Umbenennung*) of a Relevant Fund or Relevant Fund Segment, a termination of a Relevant Fund or Relevant Fund Segment or a merger of Relevant Funds or Relevant Fund Segments may also be effected by the exchange of an amended Annex to this Part 5 countersigned by the Parties.~~

~~Such amendment to the Annex of this Part 5 in case of an accession of a new Relevant Fund or a new Relevant Fund Segment or a merger by new establishment of a Relevant Fund or Relevant Fund Segment shall constitute a new Standard Agreement with the Fund Trustee, the Management Company, the Investment Manager, the Lux SICAV or Lux SICAF, the French SICAV, the IC or General Partner acting for the new or, in connection with the merger, newly established Relevant Fund or Relevant Fund Segment as ICM Client.~~

~~In deviation from Part 1 Number 9 of this Agreement the termination of this Agreement entered into with respect to a Relevant Fund or Relevant Fund Segment pursuant to Chapter I Part 1 Number 13.2.1 in connection with Number 13.1.1 of the Clearing Conditions may also be effected by the the Fund Trustee, the Management Company, the Investment Manager, the Lux SICAV or Lux SICAF, the French SICAV, the IC or General Partner by submitting to Eurex Clearing AG and the Clearing Member an amended Annex to this Part 5 in which the Relevant Fund or Relevant Fund Segment has been deleted.~~

~~Each reference in this Agreement to the Annex of this Part 5 shall be a reference to the Annex to Part 5 of this Agreement in its then current version.~~

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~~In any case described in this Number 7, a corresponding amendment or replacement of the Client Clearing Agreement which must be in compliance with the ICM-CCD Provisions, has to be evidenced to Eurex Clearing AG to its full satisfaction beforehand.~~

Annex to Part 5

Legal Name of the Relevant Fund				
Name of the asset pool (fund)				
Member code of the CM				
Member code of the RC				
Specified Multiplier for calculation Margin Requirement				
Unique reference for the asset pool				
CBF/GS Securities Margin account				
CBF/GS Main account of CM				
CBF Int 6-series Securities Margin account				
CBF Int 6-series Main account of CM				
Pool ID				
Netting Parameter				
Clearing Currency				
Approved Trade System ID of asset pool (single fund)				
Request type				
Legal Entity Identifier (LEI/preLEI)				
Jurisdiction (ISO code)				

(Place)

(Date)

(as ~~Clearing-Member~~)

Name:

Name:

Function:

Function:

~~{(Fund Trustee/Management Company/Investment Manager/Lux SICAV/Lux SICAF/French SICAV/IC/General Partner) in each case acting for the Relevant Funds [or Relevant Fund Segments] listed in the Annex to Part 5 of this Agreement as ICM-Client}~~

Name:

Name:

Function:

Function:

Eurex Clearing Aktiengesellschaft

(Eurex Clearing AG)

Name:

Name:

Function:

Function:

Reference	Description
Legal Name of the Relevant Fund	<p>Legal name of the Relevant Fund or Relevant Fund Segment.</p> <p>In case of a sub-fund, the umbrella fund of which the Sub-Fund is a sub-fund shall also be indicated.</p> <p>For purposes of a clear attribution in case of a Relevant Fund Segment the legal name of the fund to which the Relevant Fund Segment belongs shall always be indicated as well (format: <name of the Fund>-<name of the Relevant Fund Segment>).</p>
Name of the asset pool (fund)	Name of the individual segregated Relevant Fund/Relevant Fund Segment (book_name).
Member code of the CM	Eurex Clearing Member ID of the relevant Clearing Member (CM).
Member code of the RC	Eurex Clearing Member ID of the relevant Fund Trustee, the relevant Management Company, the relevant Investment Manager, the relevant Lux SICAV / Lux SICAF, French SICAV, the IC or the General Partner acting on behalf of the Relevant Fund or Relevant Fund Segment.
Specified Multiplier for calculation Margin Requirement	Multiplier agreed to determine the Margin Requirement value should be greater or equal 1.0000
Unique reference for the asset pool	<p>Via this unique 4-digit alphanumeric client reference ID securities collateral is assigned to the segregated Relevant Fund or segregated Relevant Fund Segment.</p> <p>The unique ref ID on Clearing Member level needs to appear in the SWIFT messages, when transferring securities collateral.</p>
CBF/GS Securities Margin account	Clearing Member's CBF-Subaccount for segregated clients.
CBF/GS Main account of CM	Designated account for the redelivery of margin collateral actually delivered in form of Securities. The designated CBF Main Account may be held either in the name of the Clearing Member or in the name of the custodian of the ICM Client at CBF
CBF Int 6-series Securities Margin account	Clearing Member's Creation Account for segregated clients.
CBF Int 6-series Main account of CM	Designated account for the redelivery of margin collateral actually delivered in form of Securities. The designated Creation Main Account may be held in the name of the Clearing Member or in

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Reference	Description
	the name of the custodian of the ICM Client at CBF.
Pool ID	Field will be populated automatically. Structure of the field "<Member Code of the RG>X<Member Code of the CM><Unique reference for the asset pool>".
Netting Parameter	Netting Parameters— set per account/segregated Relevant Fund/segregated Relevant Fund Segment/segregated Relevant Fund Segment: —“O” (the default setting): Eligible trades marked with the same netting string will be netted together. By setting ‘Y’ for netting in the EurexOTC Clear GUI eligible trades are netted in the next EOD processing. —“Y”: Eligible trades with the same netting string will be netted together. Also, eligible trades without netting string will be netted together in the next EOD processing. —“N”: No netting will be performed on this account.
Clearing Currency	Clearing Currency of the Clearing Member (EUR or CHF).
Approved Trade System ID of asset pool (single fund)	Approved Trade Source System ID of the Relevant Fund/ Relevant Fund Segment.
Request type	Following request types are allowed: “add” and “delete” per request type a separate technical upload sheet has to be provided. In addition an updated Annex containing the up-to-date overall status of the reference data for the Relevant Fund or Relevant Fund Segment has to be provided.
Legal Entity Identifier (LEI/preLEI)	Legal Entity Identifier / Preliminary Legal Identifier allocated by authorized entities based on ISO Standard 17442 of the Relevant Fund.
Jurisdiction (ISO code)	ISO country code of the Relevant Fund.

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SIGNATURES
to the ICM Participation Agreement

(Place)

(Date)

(as Clearing-Member)

Name:

Name:

Function:

Function:

(as ICM Client)

Name:

Name:

Function:

Function:

Eurex Clearing Aktiengesellschaft

(Eurex Clearing AG)

Name:

Name:

Function:

Function:

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**Appendix 5 to the Clearing Conditions:
Transfer Agreement for an Interim Participant to a Clearing Member**

[...]

1 Definitions and interpretation

[...]

1.1 To the extent this Agreement will be concluded with a ~~Fund Party~~~~KAG~~ within the meaning of the German Investment Act (*Investmentgesetz*) ("**InvG**") or a KVG within the meaning of the German Capital Investment Act (*Kapitalanlagegesetzbuch*) ("**KAGB**"), acting for the account of ~~a one or more Contractual Fund, Partnership, Unit Trust, Funds~~~~Sub-Fund or or one or more~~ Fund Segments, in each case in the meaning of Annex 4 of the Clearing Agreement or ICM Participation Agreement, (i) each reference in this Agreement to the "**Registered Customer**" shall be a reference ~~to to the KAG/KVG in each case acting for the account of a particular a certain~~ Contractual Fund, Partnership, Unit Trust, Sub-Fund, ~~Fund~~ or Fund Segment ~~as listed in the Annex to this Agreement in each case acting through the Fund Party;~~ (ii) ~~the relevant each~~ Contractual Fund, Partnership, Unit Trust, Sub-Fund, ~~Fund~~ or Fund Segment for the account of which the ~~Fund Party~~~~KAG/KVG~~ enters into this Agreement shall in this Agreement be referred to as the "**Relevant Fund**" or the "**Relevant Fund Segment**".

1.2 In this Agreement "**Fund Party**" means, as applicable, the manager, general partner, trustee or Incorporated Fund acting for the account of a Relevant Fund or Relevant Fund Segment, as the case may be.

1.3 References in this Agreement to a Unit Trust "entering into" a Clearing Agreement, ICM Participation Agreement, Relevant Direct Covered Transactions, Corresponding Covered Transactions or corresponding Client Clearing Transactions through the Fund Party shall be construed as the Fund Party as trustee of that Unit Trust entering in that Clearing Agreement, ICM Participation Agreement, Relevant Direct Covered Transactions, Corresponding Covered Transactions or corresponding Client Clearing Transactions, as the case may be. In addition, references in this Agreement to a Unit Trust "acting through" the Fund Party shall be construed as the Fund Party acting as trustee of that Unit Trust.

~~To the extent that this Agreement will be concluded with~~

~~(a) a trustee (including a fund manager acting as agent for such trustee) (the "**AUT Fund Trustee**"), acting for one or more AUTs within the meaning of Part 5 of the Clearing Agreement or ICM Participation Agreement, respectively, each reference in this Agreement to the "**Registered Customer**" shall be a reference to the AUT Fund Trustee acting for a certain AUT listed in the Annex to this Agreement as the Registered Customer;~~

~~(b) a Luxembourg management company (*société de gestion*) (the "**Lux FCP Management Company**") or an investment manager appointed by the Lux Management Company (the~~

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~~“Lux Investment Manager”), acting for one or more Lux FCPs within the meaning of Part 5 of the Clearing Agreement or the ICM Participation Agreement, respectively, each reference in this Agreement to the “Registered Customer” shall be a reference to the Lux FCP Management Company or the Lux Investment Manager, acting for a certain Lux FCP listed in the Annex to this Agreement as the Registered Customer;~~

- ~~(c) a French management company (*société de gestion*) (the “**French FCP Management Company**”) or an investment manager appointed by the French FCP Management Company (the “**French Investment Manager**”), acting for one or more French FCPs within the meaning of Part 5 of the Clearing Agreement or the ICM Participation Agreement, respectively, each reference in this Agreement to the “**Registered Customer**” shall be a reference to the French FCP Management Company or the French Investment Manager, acting for a certain French FCP listed in the Annex to this Agreement as the Registered Customer;~~
- ~~(d) a trustee (including a fund manager acting as agent for such trustee) (the “**UT Fund Trustee**” and together with an AUT Fund Trustee a “**Fund Trustee**”), acting in respect of one or more UTs within the meaning of the Clearing Agreement or the ICM Participation Agreement, respectively, each reference in this Agreement to the “**Registered Customer**” shall be a reference to the UT Fund Trustee acting in respect of a certain UT listed in the Annex to this Agreement as the Registered Customer;~~
- ~~(e) a management company (the “**CCF Management Company**” and together with a Lux FCP Management Company and a French FCP Management Company, a “**Management Company**”), acting for one or more CCFs within the meaning of Part 5 of the Clearing Agreement or the ICM Participation Agreement, respectively, each reference in this Agreement to the “Registered Customer” shall be a reference to the CCF Management Company acting in respect of a certain CCF listed in the Annex to this Agreement as the Registered Customer;~~
- ~~(f) a general partner (including an investment manager acting as agent for such general partner) (the “**General Partner**”) acting for one or more ILPs within the meaning of the Clearing Agreement or ICM Participation Agreement, respectively, each reference in this Agreement to the „Registered Customer“ shall be a reference to the General Partner acting for the account of a certain ILP listed in the Annex to this Agreement as the Registered Customer;~~
- ~~(g) a Lux SICAV, Lux SICAF, a French SICAV, IC, Management Company or Fund Trustee acting for one or more Sub-Funds within the meaning of Part 5 of the Clearing Agreement or ICM Participation Agreement, respectively, each reference in this Agreement to the “**Registered Customer**” shall be a reference to the relevant Lux SICAV, Lux SICAF, French SICAV IC, Management Company or Fund Trustee acting for a certain Sub-Fund listed in the Annex to this Agreement as the Registered Customer; and~~
- ~~(h) a Lux SICAV, Lux SICAF, Lux FCP Management Company or Lux Investment Manager acting for one or more Fund Segments within the meaning of Part 5 of the Clearing Agreement or ICM Participation Agreement, respectively, each reference in this Agreement to the “**Registered Customer**” shall be a reference to the relevant Lux SICAV, Lux SICAF, Lux FCP Management Company or Lux Investment Manager acting~~

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~~for a certain Fund Segment listed in the Annex to this Agreement as the Registered Customer.~~

~~Each AUT, Lux FCP, French FCP, UT, CCF, ILP and Sub-Fund, for which this Agreement is entered into, shall in this Agreement be referred to as the “Relevant Fund” and each Fund Segment of a Lux SICAV, Lux SICAF or Lux FCP for which this Agreement is entered into, shall in this Agreement be referred to as the “Relevant Fund Segment”.~~

~~Where this Agreement refers to a Management Company or an Investment Manager acting for a certain French FCP, such reference shall be read as a reference to such French FCP as Registered Customer. Similarly, where this Agreement refers to a French SICAV, Management Company or Investment Manager acting for a particular Sub-Fund, such reference shall be read as a reference to such Sub-Fund as Registered Customer.~~

1.2 For the avoidance of doubt: this Agreement shall apply to all Registered Customers, which are listed in the Annex to this Agreement.

[...]

Annex

Legal Name of the Relevant Fund				
Name of the asset pool (fund)				
Member code of the CM				
Member code of the RC				
Specified Multiplier for calculation Margin Requirement				
Unique reference for the asset pool				
CBF/GS Securities Margin account				
CBF/GS Main account of CM				
CBF Int 6-series Securities Margin account				
CBF Int 6-series Main account of CM				
Pool ID				
Netting Parameter				
Clearing Currency				
Approved Trade System ID of asset pool (single fund)				
Request type				
Legal Entity Identifier (LEI/preLEI)				
Jurisdiction (ISO code)				

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(Place)

(Date)

(as Clearing-Member)

Name:

Name:

Function:

Function:

~~(Fund Party KAG/KVG acting for the account of the Relevant Funds [and/or Relevant Fund Segments] listed in the Annex to this Agreement, as Registered Customer) (Fund Trustee/Management Company/Investment Manager/Lux SICAV/Lux SICAF/French SICAV/IC/General Partner) acting for the Relevant Funds [or Fund Segments] listed in the Annex to this Agreement, as Registered Customer)~~

Name:

Name:

Function:

Function:

Eurex Clearing Aktiengesellschaft

(Eurex Clearing AG)

Name:

Name:

Function:

Function:

Reference	Description
Legal Name of the Relevant Fund	<p>Legal name of the Relevant Fund or Relevant Fund Segment.</p> <p>In case of a Ssub-Ffund, the <u>Contractual Fund, Partnership, Unit Trusts fund</u> to which the Ssub-Ffund relates shall also be indicated.</p> <p>For purposes of a clear attribution in case of a Relevant Fund Segment the legal name of the <u>Contractual Fund, Partnership, Unit Trusts or Sub-Fund Fund</u> to which the Relevant Fund Segment belongs shall always be indicated as well (format: <name of the fFund>-<name of the Relevant Fund Segment>).</p>
Name of the asset pool (fund)	<u>Account n</u> Name of the individual segregated <u>account of the</u> Relevant Fund/Relevant Fund Segment (book_name).
Member code of the CM	Eurex Clearing Member ID of the relevant Clearing Member (CM).
Member code of the RC	Eurex Clearing Member ID of the Fund PartyManager / KAG / KVG, the relevant Fund Trustee, the relevant Management Company, the relevant Investment Manager, the relevant Lux SICAV/ Lux SICAF, the relevant French SICAV, the relevant IC or the relevant General Partner acting on behalf of the Relevant Fund or Relevant Fund Segment as the Registered Customer.
Specified Multiplier for calculation Margin Requirement	Multiplier agreed to determine the Margin Requirement value should be greater or equal 1.0000
Unique reference for the asset pool	<p>Via this unique 4-digit alphanumeric client reference ID securities collateral is assigned to the segregated Relevant Fund or segregated Relevant Fund Segment.</p> <p>The unique ref ID on Clearing Member level needs to appear in the SWIFT messages, when transferring securities collateral.</p>
CBF/GS Securities Margin account	Clearing Member's CBF- s <u>Sub</u> -account for segregated clients.
CBF/GS Main account of CM	Designated account for the redelivery of margin collateral actually delivered in form of Securities. The designated CBF Main Account may be held either in the name of the Clearing Member . or in the name of the custodian of the <u>Registered Customer</u> ICM-Client at CBF

Reference	Description
CBF Int 6-series Securities Margin account	Clearing Member's Creation-Account for segregated clients.
CBF Int 6-series Main account of CM	Designated account for the redelivery of margin collateral actually delivered in form of Securities. The designated Creation Main Account may be held in the name of the Clearing Member or in the name of the custodian of the Registered Customer/CM Client at CBF.
Pool ID	Field will be populated automatically. Structure of the field "<Member Code of the RC>X<Member Code of the CM><Unique reference for the asset pool>".
Netting Parameter	Netting Parameters — set per account/segregated Relevant Fund/segregated Relevant Fund Segment: — "O" (the default setting): Eligible trades marked with the same netting string will be netted together. By setting 'Y' for netting in the EurexOTC Clear GUI eligible trades are netted in the next EOD processing. — "Y": Eligible trades with the same netting string will be netted together. Also, eligible trades without netting string will be netted together in the next EOD processing. — "N": No netting will be performed on this account.
Clearing Currency	Clearing Currency of the Clearing Member (EUR or CHF).
Approved Trade System ID of asset pool (single fund)	Approved Trade Source System ID of the Relevant Fund or the Relevant Fund Segment.
Request type	Following request types are allowed: "add" and "delete" per request type a separate technical upload sheet has to be provided. In addition, an updated Annex containing the up-to-date overall status of the reference data for the Relevant Fund or Relevant Fund Segment has to be provided.
Legal Entity Identifier (LEI/preLEI)	Legal Entity Identifier / Preliminary Legal Identifier allocated by authorized entities based on ISO Standard 17442 of the Relevant Fund.
Jurisdiction (ISO code)	ISO country code for the Relevant Fund.

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SIGNATURES
to the Transfer Agreement

(Place)

(Date)

(as New Clearing-Member)

Name:

Name:

Function:

Function:

(as Non-Clearing Member/Registered Customer)

Name:

Name:

Function:

Function:

Eurex Clearing Aktiengesellschaft

(Eurex Clearing AG)

Name:

Name:

Function:

Function:
